

# YELLOWKNIFE MINOR HOCKEY ASSOCIATION

## FUNDRAISING

### POLICIES AND PROCEDURES

Approved: 06 December, 2007

#### 1.0 INTRODUCTION

The Association depends on financial support from a number of sources – registration fees, in-kind support, sponsorship and fundraising - to deliver its programs. From time to time, players and parents may be expected to make a direct contribution to a team or group expenses through fund raising. This statement is intended to:

- Ensure that fund raising activities are consistent with municipal bylaws and Association policies and guidelines;
- Ensure that fund raising is undertaken in a consistent and coordinated manner;
- Ensure that fund raising is undertaken in a transparent and accountable manner; and
- Outline the procedures with respect to the planning and implementation of fund raising activities.

#### 2.0 GUIDING PRINCIPLES

**Transparency:** consistent application of our policies and procedures in a fair and transparent manner.

**Equity:** all teams or groups shall have reasonable access to fundraising opportunities endorsed by the Association.

**Respect:** we will respect the application and reporting requirements of the relevant authorities.

#### 3.0 DEFINITIONS

“Activity” refers to the actions undertaken by a team or program of the Association to raise funds for an event.

“Coordinator” refers to the person who has overall responsibility for a fundraising activity.

“Chair” refers to the Chairperson, Sponsorship and Fundraising Committee.

“Event” refers to a tournament, program or activity in which a team or group or the Association plans to participate or host.

#### 4.0 GENERAL

- All fund raising activities must be carried out in the name of the Association. The name of the Association must be given prominence on any sign or other printed material. The name of the team or group can only be used in conjunction with the name of the Association. For example: “Yellowknife Minor Hockey Association – Pee wee Division”

YELLOWKNIFE MINOR HOCKEY ASSOCIATION  
Pee wee Division

- All fund raising activities, regardless of the time of year, must be approved by the Sponsorship and Fundraising Committee.
- All teams and groups have a responsibility to project a positive image of the Association and minor hockey.
- All plans for fund raising must be discussed and approved at a team or group meeting involving the coaches and parents.
- All fund raising activities must be supervised by an adult.
- All fund raising activities must be in support of a specific event or need and not just for the sake of raising funds
- Alcoholic beverages may not be offered as prizes.
- All funds must be maintained in an account administered by the Association. Funds cannot be maintained in an account administered by a team or group.
- Team or program representatives must have approval from the Director, Sponsorship and Fundraising before approaching an Association sponsor.

## 5.0 TYPES OF FUND RAISING

Acceptable forms of fundraising include, but are not limited to:

### 5.1 Lotteries

Lotteries include: bingos, raffles or 50/50 draws.

### 5.2 Sale of Merchandise

Merchandise sales include: chocolate bars, beef jerky, pizzas, cookie dough.

### 5.3 Services

Services include: car washes, bagging groceries, snow clearing, skate-a-thons, garbage clean-up, silent auctions, scorekeeping.

## 6.0 ACCEPTABLE EXPENDITURES

Funds may be used to pay for:

- Tournament registration fees
- Accommodation, travel and one special event team meal (per trip) for players, coaches and chaperones traveling outside of Yellowknife
- Ice rental fee for practices or games
- Fees for on-ice officials
- Administrative expenses such as phone calls, faxes or correspondence
- Awards ceremony or player recognition (maximum of \$30/player)

The purchase of items which are not mentioned above must be subject to approval by the Sponsorship and Fundraising Committee.

Funds may not be used for items of a personal nature including:

- Jackets, hats, track suits
- Equipment bags, personal equipment or name bars for uniforms
- Team or individual photographs
- Tickets to sporting events or other entertainment

## 7.0 COORDINATION AND APPROVAL

- All fundraising activities, regardless of the time of year, must be approved by the Sponsorship and Fundraising Committee.
- Fundraising plans should be submitted by 01 November.
- A fundraising plan will be considered after that date but it will be subject to other activities which have already been approved and scheduled.
- The fundraising plan must include:
  - The purpose of the proposed fundraising activities;
  - The types and dates of the proposed fundraising activities;
  - A detailed breakdown of the costs associated with the proposed event;
  - The projected revenue from the fundraising activities.
- Lotteries must operate in accordance with the policies and procedures of the City of Yellowknife.
- Applications for a municipal lottery licence must be approved by the President of the Association and submitted at least two weeks in advance of the event.
- Two persons, associated with a proposed lottery, are required to sign a waiver (see attached) accepting all legal and financial risks associated with the lottery.
- Teams or groups must make their own applications to receive bingos from the organizations offering that service.
- In the case of raffles, the team or group must submit a sample ticket with the lottery application to the Sponsorship and Fundraising Committee for approval. Information on the ticket must include a location for the lottery license number, the draw date, the list of prizes, the name of the Association, and the logo of our major sponsor.
- The travel permit for a team or group will be contingent upon the receipt, by the Treasurer, of up-to-date financial statements.

## 8.0 FINANCIAL RECORDS

- The team or group must maintain complete and up-to-date financial records for all activities which involve the generation or disbursement of funds.
- The financial statement must be made available to all participants, parents, the Chair Sponsorship and Fundraising Committee, and the Treasurer upon request.
- The financial statement and funds must be submitted to the Chair, Sponsorship and Fundraising, and the Treasurer within five days after a fundraising event.

## 9.0 STATEMENTS OF ACCOUNT

- The coordinator must submit a statement of account, as required by the City, within 30 days after the event.
- The statement must be signed by the President and Treasurer.
- Any team or program that fails to complete a statement of account may be subject to the forfeiture of its travel permit and suspension of the coach or program leader from the Association.

## 10.0 BANK ACCOUNTS

- All proceeds from all fund raising activities must be kept in a separate Association account established for that purpose.

## 11.0 ACCESSING SPONSORSHIP FUNDS

The Coordinator wishing to access the sponsorship funds must:

- Arrange for invoices for major expenditures (e.g. air travel, accommodation, registration fees, etc.) to be submitted directly to the Treasurer.
- Identify and quantify each anticipated cost for which an advance is being requested.
- Provide the Treasurer with a statement of account, including receipts, which details the expenditure of the advanced fund within seven days of the end of the event.
- Return the excess funds to the Treasurer within seven days of the end of the event.

## 12.0 EXCESS FUNDS

- Any funds (excluding funds acquired under a City lottery licence) which remain after the completion of the activity or event shall be allocated as follows:
  - to the general operations of the Association - 25%
  - to the same team or program in the following year - 75%
- Additional excess funds may be retained for subsequent teams or programs as determined, on a case-by-case basis, by the Executive Committee.
- Any funds raised through events licensed by the City must be used only for the purposes indicated on the licence applications unless otherwise approved by the City.
- Any funds raised through events licensed by the City which remain at the end of the season may be retained for the subsequent season and used only for the purposes indicated on the original licence application.
- The Treasurer shall be responsible for seeking the appropriate approvals from the City.

